



Docket No. 3123-4006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): EMALFARB, et al.

Group Art Unit: TBA

Serial No.: 09/834,434

Examiner: TBA

Filed: April 13, 2001

For: HIGH-THROUGHPUT SCREENING OF EXPRESSED DNA LIBRARIES IN  
FILAMENTOUS FUNGI

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EV245482419US

Date of Deposit: March 13, 2003

I hereby certify that the following attached paper(s) and/or fee

- 1) Transmittal Letter (2 copies)
- 2) Response to Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 copies)
- 3) Copy of Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
- 4) Amendment in Response to Notice under 37 CFR §§1.821-1.825
- 5) Diskette containing the Sequence Listing in computer readable form
- 6) Paper copy of the Sequence Listing
- 7) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825
- 8) RETURN RECEIPT POSTCARD

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

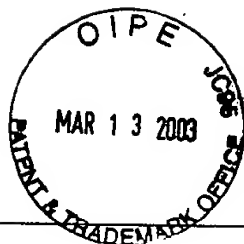
**Albert Isles**

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
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(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,434	04/13/2001	Mark A. Emalfarb	3123-4006

Eugene Moroz  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053

CONFIRMATION NO. 5903

# FORMALITIES LETTER



\*OC00000009390773\*

Date Mailed: 01/17/2003

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

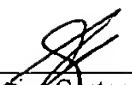
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. 3123-4006

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Applicant(s): EMALFARB, et al.

Group Art Unit: TBA

Serial No.: 09/834,434

Examiner: TBA

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For: HIGH-THROUGHPUT SCREENING OF EXPRESSED DNA LIBRARIES IN  
FILAMENTOUS FUNGI

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith are:

1. Express Mail Certificate, including Express Mail Label No. EV245482419US
2. Response to Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 copies)
3. Copy of Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
4. Amendment in Response to Notice under 37 CFR §§1.821-1.825
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6. Paper copy of the Sequence Listing
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8. RETURN RECEIPT POSTCARD

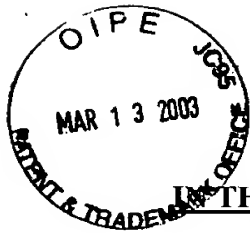
The Commissioner is hereby authorized to charge any additional fees which may be required by any of these papers, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3123-4006.  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 13, 2003  
Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

By: Mary J. Morry

Mary J. Morry  
Registration No. 34,398



Docket No. 3123-4006

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): EMALFARB, et al.

Group Art Unit: TBA

Serial No.: 09/834,434

Examiner: TBA

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**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231


Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed January 17, 2003, submitted herewith is a paper copy of the sequence listing; a diskette containing the sequence listing in computer readable form; a Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825 verifying that the content of the paper and computer readable copies of the sequence listing are the same; and an Amendment allowing entry of the sequence listing into the specification, as well as a copy of the Notice itself.

A response is due March 17, 2003. Therefore, this response is timely filed. However, if that is not the case, the Commissioner is requested to grant a petition for that extension of time which is required to make this response timely and is hereby authorized to charge any additional fees that may be required or credit any overpayment to Deposit Account No. 13-4500, Order No. 3123-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 13, 2003  
Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

By:   
Mary J. Morry  
Registration No. 34,398